

## AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Mehmet Inan, et al.

Docket No.

15948Z

Application No.

10/743,163

Filing Date

December 22, 2003

Examiner

Jennifer Ann Dunston

Customer No.

23389

Group Art Unit

1636

Confirmation No.

7785

Invention: ALCOHOL OXIDASE 1 REGULATORY NUCLEOTIDE SEQUENCES FOR HETEROLOGOUS GENE EXPRESSION IN YEAST

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	142 -	136 =	6	x \$25.00	\$150.00
INDEP. CLAIMS	9 -	8 =	1	x \$100.00	\$100.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$250.00

☐ No additional fee is required for amendment.☒ Please charge Deposit Account No. 19-3886/RCT in the amount of \$250.00☐ A check in the amount of to cover the filing fee is enclosed.☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-3886/RCT☒ Any additional filing fees required under 37 C.F.R. 1.16.☒ Any patent application processing fees under 37 CFR 1.17.☐ Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Dated: September 21, 2006

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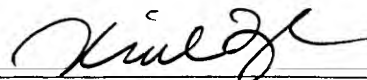
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(Date)



Signature of Person Mailing Correspondence

Xiaochun Zhu

Typed or Printed Name of Person Mailing Correspondence

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Mehmet Inan, et al.

**Examiner:** Jennifer Ann Dunston

**Serial No.:** 10/743,163

**Art Unit:** 1636

**Filed:** December 22, 2003

**Docket:** 15948Z

**For:** ALCOHOL OXIDASE 1 REGULATORY  
NUCLEOTIDE SEQUENCES FOR  
HETEROLOGOUS GENE EXPRESSION IN YEAST

**Dated:** September 21, 2006

**Confirmation No.:** 7785

Commissioner for Patents  
Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. §§ 1.111 AND 1.143**  
**AND SECOND PRELIMINARY AMENDMENT**

Sir:

In response to the Office Action dated August 22, 2006, setting forth a requirement for restriction and in accordance with the provisions of 37 C.F.R. §§ 1.111 and 1.143, Applicants provisionally elect, with traverse, Group I, claims 1 and 7-20 (as they read on SEQ ID NO: 16), drawn to an isolated polynucleotide comprising a regulatory region containing a nucleotide sequence of SEQ ID NO: 16 or variant thereof. Applicants further respectfully submit the following second Preliminary Amendment for entry in the present application.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

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Dated: September 21, 2006

  
Xiaochun Zhu